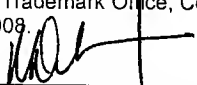


Certificate Under 37 CFR 1.8

I hereby certify that this correspondence is being electronically transmitted to the U.S. Patent and Trademark Office, Commissioner for Patents, via the EFS on September 8, 2008.

  
Robert D. Summers, Jr., Reg. No. 57,844

BRINKS  
HOFER  
GILSON  
& LIONE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: McGiffin et al.

Appln. No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL  
PARTICIPATION FOR  
UNDERWRITING COMPONENTS

Examiner: Swartz, Jamie H.

Art Unit: 3694

Confirmation No. 7513

Attorney Docket No: 10022/444

**AMENDMENT UNDER 37 C.F.R. 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action mailed May 13, 2008 (hereinafter "the Final Office Action") and the Advisory Action mailed July 28, 2008 (hereinafter "the Advisory Action"), Assignee has filed this amendment as part of a Request for Continued Examination.

**Amendments to the Claims** begin on page 2 of this response.

**Remarks and Arguments** begin on page 6 of this response.